

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 C.F.R. §1.53(b))	Attorney Docket No.	PGW/TF-101.P.3
	First Inventor or Application Identifier	Steven Charles Leisher
	Title	Method, System and Apparatus for Forming an Insurance Program
	Express Mail Label No.	EL 8921814687 US
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages of Application [186] having [1] title page; [ 122 ] pages of disclosure; [ 28 ] pages of claims; [1] page abstract and [ 34 ] pages of drawings] 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [ 34 ] 4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (For continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		

17418 U.S. PTO

10/607093



## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)

☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

13. ☒ Small Entity Assertions  
☐ Statement filed in prior application. Status still proper and desired

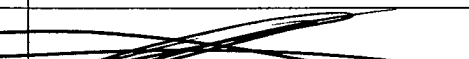
14. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)

15. ☒ Other:  
Certificate of Mailing by Express Mail No. EL 892181467 US  
Cover Letter  
Check No. 2312 for \$ 1,476.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) Of prior application No:  
Prior application Information: Examiner \_\_\_\_\_ Group Art Unit  
**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion as been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE INFORMATION

☒ Customer Number 24232 or Bar Code Label [ ] or  
☐ Correspondence address below

Name	David R. Preston & Associates A.P.C.		
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Name	Thomas D. Foster	Registration Number	44,686
Signature		Date	25 June 2003

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**VIA EXPRESS MAIL**

**CONFIDENTIAL  
PRIVILEGED COMMUNICATION**

**Mail Stop Patent Application**

**"Express Mail" Mailing Label Number: EL 892181467 US**

**Date of Deposit: 25 June 2003**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Utility Patent Application  
Entitled: Method, System and Apparatus for Forming an Insurance Program  
Appl. No.: To be determined  
Filed: Herewith  
Inventor: Leisher et al.  
Our Ref.: PGW/TF-101.P.3

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal (in duplicate);
2. Fee Transmittal (in duplicate);
3. Declaration for Utility Patent Application;
4. Complete U.S. Patent Application entitled:

**METHOD, SYSTEM AND APPARATUS FOR FORMING AN INSURANCE PROGRAM**

and naming as inventors

**Steven Charles Leisher;  
Robert Burnham Batson;  
Jeffrey Stratton Patterson;  
Daniel James Miller;  
Richard John Baker;**

David R. Preston & Associates, A.P.C.

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**Howard Bruce Abbott;  
Marlin Eugene Leisher;  
Donald Phillip Goode; and  
Armando Cano**

the utility application comprising:

- i. Total pages of application: [186];
  - ii. Pages of Disclosure: [122];
  - iii. Pages of Figures: [34];
  - iv. Pages of Title Page: [1];
  - v. Pages of Abstract: [1];
  - vi. Pages of Claims: [28]
5. One Return Post Card; and
6. Check No. 2312 in the amount of \$ 1,476.00 to cover the application fee.

It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to **Deposit Account 501321** in the name of **David R. Preston & Associates A.P.C. having Customer No.: 24232.**

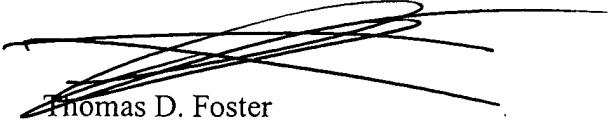
The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:

Thomas D. Foster  
Registration No. 44,686

Please send all correspondence and direct all telephone calls to:

Thomas D. Foster  
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12625 High Bluff Drive; Suite 205  
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Respectfully submitted,  
DAVID R. PRESTON & ASSOCIATES A.P.C.



Thomas D. Foster  
Attorney for Applicant  
Registration No. 44,686

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1476.00

## Complete if Known

Application Number	To be determined
Filing Date	Herewith
First Named Inventor	Steven Charles Leisher
Examiner Name	To be determined
Art Unit	To be determined
Attorney Docket No.	PGW/TF-101.P.3

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account: # 2312

Deposit Account Number

501321

Deposit Account Name

David R. Preston & Assoc.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	375.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 375.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
63	-20** = 43	x 9	= 387
Independent Claims	20	-3** = 17	x 42 = 714
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 1101.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

Name (Print/Type) Thomas D. Foster

Registration No. 44,686  
(Attorney/Agent)

(Complete if applicable)

Telephone 858-724-0375

Signature

Date

25 June 2003

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.